

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
04783/018001

Application Of: Toshihiro SHIMA

Serial No.  
09/810,949

Filing Date  
March 16, 2001

Examiner

Group Art Unit  
2861

Invention: **PRINTER FOR MANAGING A PLURALITY OF PRINT JOBS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
May 31, 2001  
Date

Enclosed herewith for filing are the following:

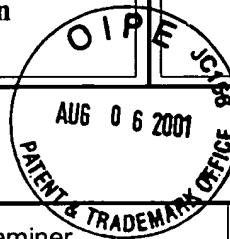
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Request to Correct Filing Receipt, 3 pages**

**Response To Notice To File Missing Parts Of Application  
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☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$710.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 7 \$320.00

☒ Total number of claims = 31 \$198.00

☒ Multiple dependent claims \$270.00

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,628.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

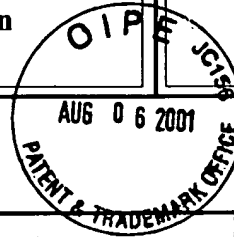
Total time extension fees \_\_\_\_\_

Total fees due \$1,628.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,628.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **500-591**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **500-591**  
A duplicate copy of this sheet is enclosed.

*Signature*

Jonathan P. Osha, Reg. No. 33,986  
**ROSENTHAL & OSHA L.L.P.**  
700 Louisiana Street, Suite 4550  
Houston, Texas 77002

Telephone: 713/228-8600  
Facsimile: 713/228-8778

Dated:

7/31/01

I certify that this document and fee is being deposited on **July 31, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Signature of Person Mailing Correspondence*

**Tawana L. Garcia**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



#3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/810,949         | 03/18/2001          | Toshihiro Shima       | 04783/018001           |

CONFIRMATION NO. 3378

## FORMALITIES LETTER



\*OC000000006133720\*

Jonathan P. Osha  
ROSENTHAL & OSHA, L.L.P.  
700 Louisiana, Suite 4550  
Houston, TX 77002

Date Mailed: 05/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$788.
  - \$198 for 11 total claims over 20.
  - \$320 for 4 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1628.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/09/2001 BNGUYEN1 00000036 09810949

|    |        |        |    |
|----|--------|--------|----|
| 01 | FC:101 | 710.00 | OP |
| 02 | FC:102 | 320.00 | OP |
| 03 | FC:103 | 198.00 | OP |
| 04 | FC:104 | 270.00 | OP |
| 05 | FC:105 | 130.00 | OP |





Receipt \$

#6

PATENT  
ATTORNEY DOCKET NO. 04783/018001  
U.S. PATENT APPLICATION NO. 09/810,919

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Toshihiro SHIMA  
Serial No.: ~~09/810,919~~ 09810949  
Filed: March 16, 2001  
Title: PRINTER FOR MANAGING A PLURALITY OF PRINT JOB DATA  
Art Unit: 2861  
Examiner:

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
OCT 06 2001  
OFFICE OF PETITIONS

REQUEST TO CORRECT FILING RECEIPT

Applicant requests that the filing date of the application be corrected from "March 18, 2001", to  
--March 16, 2001--.

Enclosed is a copy of the filing receipt as received from the PTO. The filing date of the application is in error. As shown in the attached copy of the U.S. Postal Service "Express Mail" airbill, although the "date in" was incorrectly inserted by the postal employee as March 18, 2001, the "stamped" date was correct, bearing the date March 16, 2001.

Additional enclosures showing the correct filing date as "March 16, 2001", are shown in the enclosed copies of:

- 1) copy of the Certificate of Mailing Express Mail bearing the correct filing date; and
- 2) copy of the last page of the application transmittal letter bearing the correct filing date.

Because this is a PTO error, no petition is believed necessary. However, if a petition is deemed to be required, please consider this paper as such and charge the appropriate fees to Deposit Account 500-591, Reference No. 04783/018001. If there are any other charges, or any credits, please apply them to the same Deposit Account and Reference No.

Date: 7/31/01

18276\_1.DOC

Signature: [Signature]

Jonathan P. Osha  
Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, Texas 77002

Telephone: 713-228-8600  
Facsimile: 713-228-8778

I certify that this document and fee is being deposited on 7/31/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

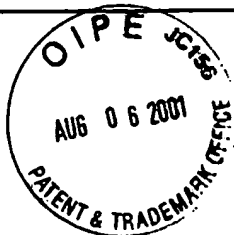
[Signature]  
Tawana L. Garcia

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
04783/018001

Total Pages in this Submission



## Fee Calculation and Transmittal

### CLAIMS AS FILED

| For   | #Filed | #Allowed | #Extra | Rate      | Fee        |
|---|--------|----------|--------|-----------|------------|
| Total Claims  | 30     | - 20 =   | 10     | x \$18.00 | \$180.00   |
| Indep. Claims   | 7      | - 3 =    | 4      | x \$80.00 | \$320.00   |
| Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/> |        |          |        |           | \$270.00   |
| BASIC FEE   |        |          |        |           | \$710.00   |
| OTHER FEE (specify purpose) _____   |        |          |        |           | \$0.00     |
| TOTAL FILING FEE  |        |          |        |           | \$1,480.00 |

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed. \*FILING FEES DEFERRED\*
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 500-591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Dated: March 16, 2001

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA, L.L.P.  
700 Louisiana, Suite 4550  
Houston, Texas 77002

cc:

Telephone: (713) 228-8600  
facsimile: (713) 228-8778

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Toshihiro SHIMA

Docket No.

04783/018001

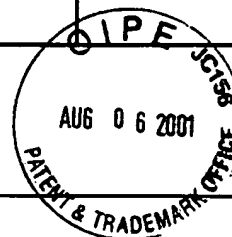
Serial No.

Filing Date

Examiner

Group Art Unit

Invention: **PRINTER FOR MANAGING A PLURALITY OF PRINT JOB DATA**



I hereby certify that the following correspondence:

Utility Application

*(Identify type of correspondence)*

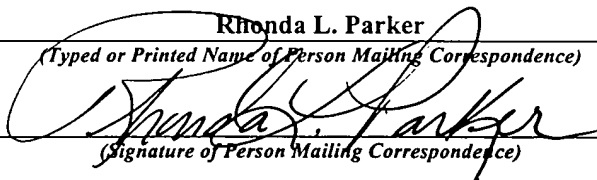
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 16, 2001

*(Date)*

Rhonda L. Parker

*(Typed or Printed Name of Person Mailing Correspondence)*



*(Signature of Person Mailing Correspondence)*

EL656796428US

*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**

**EL656796428US**